



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Ashok Anantanarayan, et al.
Serial No: 10/021,780
Filed: December 7, 2001
For: Substituted Pyrazoles as p38 Kinase Inhibitors
Confirmation No: 1584
Art Unit: 1626
Examiner: Taofiq A. Solola
Attorney Ref: 3015/6/US
HDP Ref: 6794-000032/COA

October 20, 2003

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Madam/Sir:

To comply with 37 CFR §1.97 and §1.98, Applicants submit the enclosed Form HDP-1449 and copies of all documents listed on the Form HDP-1449.

In accordance with MPEP §609 and §707.05(b), Applicants request that each document listed be given thorough consideration, and that the Examiner cite each document of record in the prosecution history of the above-referenced application by initialing the Form HDP-1449 next to each document. Applicants request such initialing even if the Examiner does not consider: (1) a listed document to be sufficiently pertinent to use in a rejection; (2) a document to be prior art for any reason; or (3) that the guidelines for citation have been fully complied with respecting a particular document. Applicants make this request so that each document becomes cited on the face of a patent issuing from the above-referenced application.

Applicants submit this Information Disclosure Statement in compliance with the duty to disclose information material to patentability under 37 CFR §1.5, but by listing such documents Applicants are not admitting that such documents are necessarily relevant or prior art. Applicants intend no representation that the listed documents represent the results of a complete search. Applicants anticipate that the Examiner, in the normal course of examination, will make an independent search consistent with 37 CFR §1.104(a) and §1.106(b), and, in the course of such search, will review for relevance every document listed on the attached form even if not initialed.

Applicants make no representation as to the accuracy of any translation provided with this Information Disclosure Statement.

The attached Form HDP-1449 and Applicants' previously-filed Form HDP-1449's cite several U.S. patent applications and U.S. patents. Applicants presume that the Examiner has access to the file histories of the cited applications and patents (as well as the file histories of the applications to which the cited applications and patents claim priority), and has/will review those file histories to the extent the Examiner feels they may be material to the patentability of the instant application. For the Examiner's convenience, however, Applicants have enclosed Office actions that are directed to the patentability of the claims in U.S. Pat. Nos. 6,423,713; 6,514,977; 6,525,059; and 6,617,324. Applicants also have enclosed Office actions from abandoned U.S. Pat. Appl. No. 09/083,670, which is related to each of those patents through priority claims. These enclosures do not include Office communications unrelated to patentability, such as notices of missing parts, Office communications directed solely to a restriction requirement, etc. Upon the Examiner's request, Applicants can provide copies of Office actions from any other cited U.S. application or U.S. patent, to the extent Applicants have such Office actions in their possession.

Finally, the attached Form HDP-1449 and Applicants' previously-filed Form HDP-1449's cite several PCT and foreign patent references that have corresponding U.S. filings. These include, for example:

WO 98/52937, which corresponds to U.S. Pat. Nos. 5,932,576 (filed 5/22/98; issued 8/3/99); 6,087,496 (filed 4/1/99; issued 7/11/00); 6,335,336 (filed 4/28/00; issued 1/1/02); and 6,579,873 (filed 12/18/01; issued 6/17/03); and US Appl. No. 10/456,861 (filed 6/6/03);

WO 98/52941, which corresponds to U.S. Pat. Nos. 6,087,381 (filed 5/22/98; issued 7/11/00) and 5,503,930 (filed 3/31/00; issued 1/7/03); and U.S. Appl. Publ. No. US-2003-0144529 (filed 10/9/02; published 7/31/03);

WO 99/58523, which corresponds to U.S. Pat. No. 6,509,361 (filed 2/21/01; issued 1/21/03) and U.S. Appl. No. 10/322,039 (filed 12/17/02); and

WO 00/31072, which corresponds to U.S. Pat. Nos. 6,143,892 (filed 11/18/99; issued 11/7/00); 6,242,612 (filed 8/7/00; issued 6/5/01); 6,342,608 (filed 1/30/01; issued

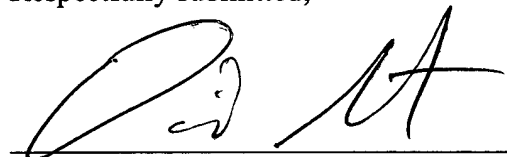
1/29/02); 6,482,955 (filed 8/6/01; issued 11/19/02); and allowed U.S. Appl. Publ. No. US-2003-0135057 (filed 10/10/02; published 7/17/03).

In an effort to minimize duplication, Applicants have not cited these U.S. patents and applications. Applicants presume that the Examiner has access to these documents (as well as their file histories), and has/will review them if the Examiner feels they may be material to the patentability of the instant application. Upon the Examiner's request, however, Applicants can provide copies of these patents, applications, and/or any Office actions from the file histories of any such patents or applications, to the extent Applicants have such Office actions in their possession.

* * * * *

Applicants request early and favorable consideration. Applicants believe that they do not owe any fee in connection with this Information Disclosure Statement. If, however, Applicants do owe any such fee(s), the Commissioner is hereby authorized to charge the fee(s) to Deposit Account No. **08-0750**. In addition, if there is ever any other fee deficiency or overpayment under 37 C.F.R. §1.16 or §1.17 in connection with this patent application, the Commissioner is hereby authorized to charge such deficiency or overpayment to Deposit Account No. **08-0750**.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'D. Gryte', is written over a horizontal line.

David M. Gryte, PTO Reg. No. 41,809
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Supplemental Information Disclosure Statement
October 20, 2003
Appl. No. 10/021,780

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

I certify that this correspondence is being deposited with the U.S. Postal Service on **October 20, 2003** with sufficient postage as first class mail (including Express Mail per MPEP § 512), and addressed to **Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450**.

DMG/PML



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10-21-03

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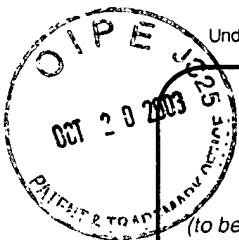
PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | | | |
|---|-----------------------------|-------------------------------|-----------------------------|
| Application Number | 10/021,780 | | |
| | Filing Date | December 7, 2001 | |
| | First Named Inventor | Ashok Anantanarayan, et al. | |
| | Group Art Unit | 1626 | |
| | Examiner Name | Taofiq A. Solola | |
| Total Number of Pages in This Submission | | Attorney Docket Number | 3015/6/US (6794-000032/COA) |

ENCLOSURES (check all that apply)

| | | |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) ____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Form HDP-1449; 12 References; Postcard |
| Remarks <div style="text-align: center;">EV 311407955 US</div> | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|-------------------------|---|--|--|
| Firm or Individual name | David M. Gryte, Reg. No. 41,809 Harness, Dickey and Pierce, P.L.C. | | |
| Signature | | | |
| Date | October 20, 2003 | | |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: October 20, 2003

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|-----------------------|----------------|------|------------------|
| Typed or printed name | David M. Gryte | | |
| Signature | | Date | October 20, 2003 |

EV 311407955 US



FORM HDP-1449 (Based on Form PTO-1449)

PATENT AND TRADEMARK OFFICE
INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Sheet 1 of 2

ATTORNEY DOCKET No.

3015/6/US

SERIAL No.

10/021,780

APPLICANT

Ashok Anantanarayan, et al.

FILING DATE

December 7, 2001

GROUP

1626

U.S. PATENT DOCUMENTS

| Ref. No. | Examiner's Initials | Document Number | Date | Name | Class/ Subclass | (If appropriate) Filing Date |
|----------|---------------------|--|---------|--|-----------------|------------------------------|
| 1. | | 12/31/01 notice of allowance for US Pat. No. 6,423,713 (cited in previously-filed IDS) | 7/23/02 | Naraian, et al. (formerly Anantanarayan, et al.) | 514/235.8 | |
| 2. | | 4/30/01 notice of allowance for US Pat. No. 6,514,977 (cited as US Appl. No. 09/196,623 in previously-filed IDS) | 2/4/03 | Anantanarayan, et al. | 514/254.01 | |
| 3. | | 8/10/01 notice of allowance for US Pat. No. 6,525,059 (cited as US Appl. No. 09/513,351 in previously-filed IDS) | 2/25/03 | Anantanarayan, et al. | 514/256 | |
| 4. | | 8/30/02 notice of allowance for US Pat. No. 6,617,324 (cited as US Appl. No. 10/114,297 in previously-filed IDS) | 9/9/03 | Naraian, et al. (formerly Anantanarayan, et al.) | 514/235.8 | |
| 5. | | 8/3/99 Office action and 5/16/00 notice of allowance for abandoned US Appl. No. 09/083,670 | | Anantanarayan, et al. | | 5/22/98 |
| 6. | | Pending independent claims from U.S. Pat. Appl. No. 10/254,445 | | Allen, et al. | | 9/25/02 |
| 7. | | Pending independent claims from U.S. Pat. Appl. No. 10/406,150 | | Allen, et al. | | 4/2/03 |
| 8. | | Pending independent claims from U.S. Pat. Appl. No. 10/456,933 | | Benson, et al. | | 6/5/03 |

FOREIGN PATENT DOCUMENTS

| Ref. No. | Examiner's Initials | Document Number | Date | Country | Class/ Subclass | Translation Yes | No |
|----------|---------------------|-----------------|----------|---------|-----------------|-----------------|----|
| 9. | | WO 99/58523 | 11/18/99 | PCT | CO7D 401/04 | | |

EV 311407955 US

Examiner:

Date Considered:

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PDP-1449 (Based on Form PTO-1449)

**PATENT AND TRADEMARK OFFICE
INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

Sheet 2 of 2

ATTORNEY DOCKET No.

3015/6/US

SERIAL No.

10/021,780

APPLICANT

Ashok Anantanarayan, et al.

FILING DATE

December 7, 2001

GROUP

1626

FOREIGN PATENT DOCUMENTS

| Ref. No. | Examiner's Initials | Document Number | Date | Country | Class/ Subclass | Translation | |
|-------------|------------------------|--|---------|---------|--------------------|-------------|----|
| | | | | | | Yes | No |
| 10. | | WO 00/31072 | 6/2/00 | PCT | CO7D 409/06 | | |
| 11. | | partial machine translation of JP 4- 145081 (cited in earlier- filed IDS) | 5/19/92 | Japan | CO7D 403/12 | | |
| 12. | | partial machine translation of JP 5- 17470 (cited in earlier- filed IDS) | 1/26/93 | Japan | CO7D 401/04 | | ✓ |

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

| Ref. No. | Examiner's Initials | |
|-------------|------------------------|--|
| | | |

Examiner:

Date Considered:

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.